

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re patent application of:

George B. Brunt, et al.

Serial No.: 09/783,161

Filed: February 14, 2001

For: SECURE WEB-BASED DOCUMENT  
CONTROL PROCESS AND SYSTEM

Examiner:

Group Art Unit: 2131

Atty. Docket No.: 10.080.001 (CDUS.0002)

Date: December 19, 2001

Commissioner for Patents and Trademarks  
Washington, D.C. 20231

Sir:

**PETITION UNDER 37CFR 1.48(a)(1) FOR CORRECTION OF INVENTORSHIP**

Applicant respectfully petitions according to Title 37 CFR, section 1.48, paragraph (a), item (1) to correct inventorship on application number 09/783,161 to show John J. Kendrick, Jr., and Robert N. Higbee as inventors and to remove George B. Brunt as an inventor, as I recently learned he was not.

Attached is the statement from Mr. Brunt as required under this rule, and the consent of the Assignee.

Respectfully submitted,

By: Timothy F. Loomis  
Reg. No. 37,383Law Offices of Timothy F. Loomis  
2932 Hagen Drive  
Plano, TX 75025  
972-747-0706; 972-747-9996 Fax

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Atty. Docket No.: (CDUS.0002)  
10.080.001Commissioner for Patents and Trademarks  
Washington, D.C. 20231

Sir:

**STATEMENT FROM PERSON BEING DELETED AS AN INVENTOR**

Please remove me as inventor on application number 09/783,161, as I was not an inventor. The error in inventorship occurred without any deceptive intention on my part.

Respectfully submitted,

  
George B. BruntDate: 12-10-2001

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10.080.001Commissioner for Patents and Trademarks  
Washington, D.C. 20231

Sir:

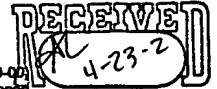
**CONSENT OF ASSIGNEE TO CORRECT INVENTORSHIP**

Assignee hereby provides permission to correct inventorship on application number 09/783,161 to show John J. Kendrick, Jr., and Robert N. Higbee as inventors and to remove George B. Brunt as inventor.

Respectfully submitted,

  
Robert N. Higbee  
CEO  
ControlDocs Operating Corp.Date: Dec. 11, 2001

Official

Please type a plus sign (+) inside this box → ☐

PTO/SB/01 (10-02)

Approved for use through 10/31/2002. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION</b> <b>(37 CFR 1.63)</b>  <input checked="" type="checkbox"/> Declaration Submitted with Initial Filing    OR <input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16(e)))	Attorney Docket Number	10.080.001 (CDUS.0002)
	First Named Inventor	John J. Kendrick, Jr.
	<b>COMPLETE IF KNOWN</b>	
	Application Number	09 / 783,161
	Filing Date	2/14/2001
	Group Art Unit	2131
	Examiner Name	

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**Secure Web-Based Document Control Process and System**

the specification of which (Title of the Invention)

☐ is attached hereto  
OR  
☒ was filed on (MM/DD/YYYY) **02/14/2001** as United States Application Number or PCT International Application Number **09/783,161** and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application	Foreign Filing Date	Priority	Certified Copy Attached?	
			YES	NO
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)
<b>60/182,536</b>	<b>02/15/2000</b>

☐ Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

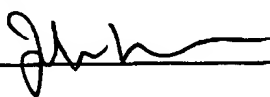
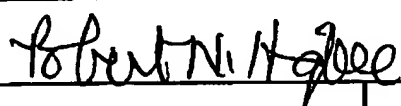
Official

Please type a plus sign (+) inside this box → ☐PTO/SB/01 (10-00)  
Approved for use through 10/31/2002. OMB 0851-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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RECEIVED  
4-23-02**DECLARATION — Utility or Design Patent Application**

Direct all correspondence to: <input type="checkbox"/> Customer Number or Bar Code Label		OR <input checked="" type="checkbox"/> Correspondence address below	
Name Timothy F. Loomis			
Address Law Offices of Timothy F. Loomis			
Address 2932 Hagen Drive			
City Plano		State TX	ZIP 75025
Country USA	Telephone (972) 747-0706		Fax (972) 747-9996
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.			
NAME OF SOLE OR FIRST INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any)) John J.		Family Name or Surname	Kendrick, Jr.
Inventor's Signature 		Date 12-17-01	
Residence: City Dallas	State TX	Country USA	Citizenship US
Mailing Address 5311 Byron Avenue			
Mailing Address			
City Dallas	State TX	ZIP 75205	Country USA
NAME OF SECOND INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any)) Robert N.		Family Name or Surname	Higbee
Inventor's Signature 		Date 12-11-01	
Residence: City Plano	State TX	Country USA	Citizenship US
Mailing Address 3805 Ellington Drive			
Mailing Address			
City Plano	State TX	ZIP 75093	Country USA
<input type="checkbox"/> Additional inventors are being named on _____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.			

[Page 2 of 2]

LAW OFFICES OF  
TIMOTHY F. LOOMIS\*  
2932 HAGEN DRIVE  
PLANO, TX 75025  
TELEPHONE (972) 747-0706  
FACSIMILE (972) 747-9996  
E-MAIL: TLOOMISLAW@AOL.COM

## FACSIMILE TRANSMITTAL SHEET

TO: Alexis Lahey/Ella FROM: Cheryl A. Sanders

COMPANY: USPTO  
Customer Service Center

DATE: April 23, 2002

TEL NO.: (703) 306-5631

SENDER'S REF. NO.:

FAX NO.: (703) 746-7240

YOUR REF. NO.:

CC:

TOTAL NO. OF PAGES  
INCLUDING COVER: 6☐ URGENT ☐ FOR REVIEW ☐ PLEASE COMMENT ☐ PLEASE REPLY ☐ PLEASE RECYCLE

Alexis/Ella:

Per our telephone conversations today and per your instructions, this is to certify that this facsimile is a true and accurate copy of the original Petition Under 37CFR 1.48(a)(1) for Correction of Inventorship, Statement from Person Being Deleted as an Inventor, Consent of Assignee to Correct Inventorship, and Declaration mailed by First Class Mail to the USPTO on December 19, 2001.

Acknowledgement of receipt of the original documents as well as this facsimile copy is appreciated.



Cheryl A. Sanders  
Office Administrator

\*Admitted in Maryland and District of Columbia; not admitted in Texas